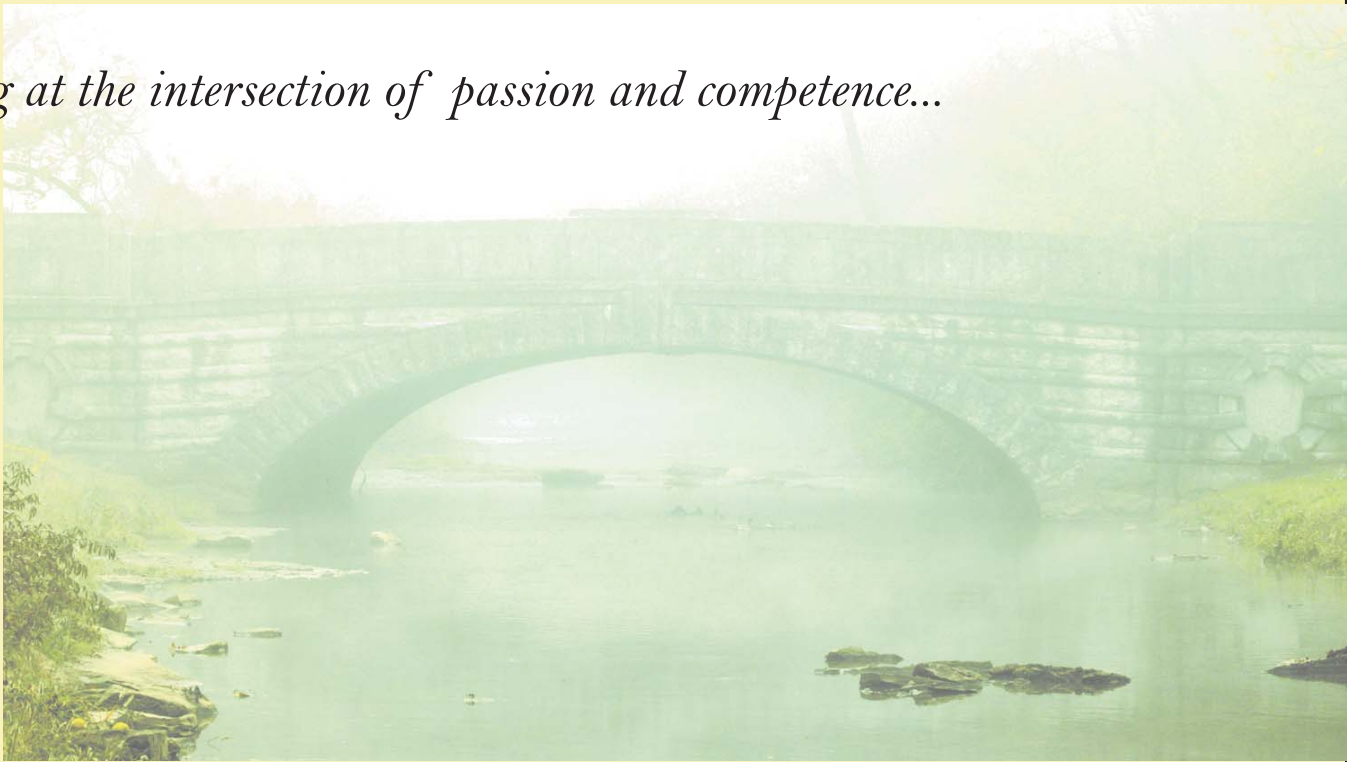


Annual Report

2004

Giving at the intersection of passion and competence...



The C. E. & S. Foundation Inc.

The C. E. & S. Foundation Inc.

1650 National City Tower
Louisville, KY 40202

phone (502) 583-0546

fax (502) 583-7648

The C.E. and S. Foundation, Inc. was formed in 1984 as a private, non-operating family foundation.

The C. E. and S. Foundation identifies, funds, and nurtures projects and organizations in the fields of higher education, international cooperation, and improvements to the urban environment that meaningfully enhance the living conditions or prospects of identifiable people. The Foundation also supports both domestic and international disaster relief and prevention. As a family foundation, the C.E. and S. Foundation regularly initiates grant projects that reflect the voluntary sector commitments of its donor family.

Located in Louisville, Kentucky, the Foundation has assets in the range of \$50 million. Since its formation, the Foundation has approved in excess of \$38.5 million in grant awards. In 2004, the Foundation distributed approximately \$2.2 million in grants.



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David A. Jones
President

Bruce A. Maza
Executive Director

Diana Church
Executive Assistant

The C. E. and S. Foundation, a family foundation, expresses the commitment of its donor family to discipline and creativity in their search for effective voluntary sector partners and strategies. The Foundation's Grants Committee continues to be inspired and encouraged by the experiences it shares with its grant partners.

2004 proved to be a year both of culmination and innovation in the grants programs of the C. E. and S. Foundation.

For example, the final annual installments of the grants in a Higher Education Initiative (inaugurated, 1999) were approved for payment to the University of Louisville's *Liberal Studies Project* in the College of Arts and Sciences and to Bellarmine University's *Teacher Formation and the Core of Student Learning* program.

Liberal Studies Program director Dr. John Hale will continue to experiment with innovations in the final year of this program conceived as a pilot project to raise the local profile of inter-disciplinary research and teaching. In the 2004-2005 academic year, an increased number of short residencies of renowned visiting scholars will enrich the experience of a larger number of students, faculty, and Louisville community members. The Liberal Studies Project will continue to test inter-disciplinary enquiry as a norm that may increasingly define academic preparation for the globalized, information-saturated society of the 21st century.

At Bellarmine, faculty leaders will spend the final grant year incorporating the most durable elements of the *Teacher Formation* program into the regular professional development protocol of the University. President Joseph J. McGowan has stated that the program has established faculty renewal practices that have become "signature resources essential to the distinctive character of teaching and learning at Bellarmine." Also, the Foundation approved final payment of a ten-year grant to nurture the growth of foreign language study at Bellarmine. As an experiment to observe the practical consequences of an unusually long multi-year commitment of support, this grant proved gratifying and immensely successful. It resulted in the establishment of an independent Department of Foreign Languages and in opportunities for foreign study for a majority of Bellarmine's undergraduates. The Foundation's support for foreign language study is one component of its strong commitment to increase the international cultural competency of American citizens preparing for capable, competitive, and enthusiastic engagement with a globalized economy and a pluralistic world view.

The culmination of these grants anticipates post-grant assessment that will yield valuable information to practitioners in both educational and philanthropic institutions.

Even as these long-term grant projects reached their conclusion, the Foundation broke new ground by approving a two-year focus of its grant-making agenda on four projects:

The first is now known by the moniker first invented by donor family members, "*Louisville: City of Parks.*" The project will implement planning supported by prior-year grants in the *Foundation-Initiated Grants* category, which has increasingly become the Foundation's "research and development department." A new public charity, 21st Century Parks, Inc., will launch a major initiative to acquire several thousand acres of land in the eastern region of the newly-merged Louisville Metro. It will then design and build new public parks to provide residents there the same opportunities to experience the recreational, aesthetic, and environmental benefits enjoyed in the city's historic Olmsted Parks.

A second major commitment will support the Metro-wide *Every 1 Reads* project that proposes to raise the reading proficiency of every student in the Jefferson County Public Schools to grade-level within four years. 2004 support was designated to fund the project's English-as-a-Second-Language component, long a focus of C. E. and S. interest. The Grants Committee welcomed the opportunity to collaborate with other local funders on this challenge, identified in another of the Foundation's collaborative grant commitments, the Greater Louisville Project that commissioned the Brookings Institution study *Beyond Merger*. This study has successfully coalesced community spirit and resources around a collective vision for Louisville's future as a "competitive American city."

A third grant focus will provide funding for the Louisville Asset-Building Coalition, a group of organizations committed to adopting asset-development as a central strategy for social welfare reform. One already promising component of the Coalition's agenda, the Volunteer Income Tax Assistance (VITA) program, is making it possible for hundreds of low-income employees to claim the Earned Income Tax Credit available to them.

Finally, a fourth grant program will support a partnership between the Center for Nonprofit Excellence and Leadership Louisville Foundation to address the need to recruit and train a new generation of voluntary sector board members.

These highlights of 2004 grant commitments only hint at the breadth of the spectrum of partnerships formed and supported under the Foundation's principle program guidelines in Education, International Relations, and the Urban Environment, and in its Disaster Relief and Prevention and Foundation-Initiated Grants. However, one touchstone unites all of the grants presented in this report. The Foundation's donors have said,

"In our philanthropy, we always strive to support the work of those who bring integrity to the intersection of passion and competence."

To meet that challenge and to fulfill that hope are the goals of the C. E. and S. Foundation.

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PROGRAM GUIDELINES

Areas of Interest

The Foundation is interested in supporting projects that improve people's lives. In each of the areas described below, the Foundation looks for innovative programs that address a specific need. Preference is given to those programs that have developed methods for measuring success.

Higher Education. The Foundation currently supports programs at colleges and universities with which it has long-standing partnerships. Its current commitments to those institutions necessarily limit the number of grants it can award to new programs. However, the Foundation welcomes consultation with representatives of institutions seeking to explore innovation in interdisciplinary curricula, and in the teaching and learning of foreign languages. In addition, the Foundation considers proposals from non-traditional centers of learning, such as The Asia Institute, which provides educational programs on Asian culture, history, commerce, and politics to the Louisville community.

International Cooperation. The Foundation supports programs that enable citizens of other countries to gain the education or specialized training needed to help their countries develop, or that meaningfully expose Americans to other societies and cultures.

Urban Environment. The Foundation supports projects that improve living conditions and/or enhance the lives of people in their communities. Urban parks, recreation facilities, and pilot projects in low-income housing are examples of the types of projects supported. The majority of grants made in this area focus on Louisville, Kentucky, the Foundation's home. Organizations serving other urban areas should seek the counsel of Foundation staff before submitting formal proposals.

Emergency Disaster Relief. The Foundation supports organizations that provide emergency disaster relief and prevention both nationally and internationally.

Foundation-Initiated Grants. The Foundation, from time to time, supports special projects with partner organizations that serve areas of inquiry or missions outside those listed above. Grants to such projects tend to be initiated by the Foundation, rather than granted in response to proposals.

Types of Projects Considered

The Foundation will give priority to organizations and programs that:

- Have demonstrated effectiveness, proven management, clear plans and a high level of competence.
- Are committed to measuring outcomes and examining the lasting impact of their efforts.
- Have strong levels of funding from other donors.
- Offer unique, highly innovative solutions to recognized social problems.

How to Apply for a Grant

If you feel that your project falls within the aforementioned guidelines, please call or send a brief letter of introduction outlining the project for which your organization is seeking funding. If the goal of the project seems appropriately aligned with the Foundation's mission, a request will be made of the partner organization to provide a formal proposal. The Foundation's staff is always willing to consult with representatives of organizations seeking possible partnership and support.

The Foundation's Grants Committee meets alternate months throughout the year. In 2005, the Committee is scheduled to meet in February, April, June, August, and October.

Restrictions

At this time, the Foundation does not consider grants to individuals (including academic scholarships) or for medical research.



GRANTS 2004: EDUCATION

Bellarmino University

\$50,000 The final installment of a ten-year, \$500,000 grant to the foreign language department, to nurture and encourage the study of foreign languages.

The David School

\$50,000 The first payment of a \$75,000 award for the creation of a Director of Development position, which will strengthen the school's fundraising and development function.

Harvard Medical School

\$20,000 To support the creation of the Albert L. Sheffer endowed professorship in Allergic Diseases. The Professorship will provide for the appointment of a senior physician/scientist in the field of Asthma and Allergy at Brigham and Women's Hospital.

Higher Education Initiative

\$272,500 For special projects to improve higher education in Louisville (see detail at right).

Jefferson County Public Education Foundation

\$75,000 The first installment of a four-year grant to the *Every 1 Reads* literacy initiative.

Kentucky Country Day School

\$70,000 The second installment of a \$140,000 grant for cafeteria renovation.

Middlebury College

\$150,000 The first installment of a \$750,000 grant to support the construction of a new library.

\$33,000 To the Bread Loaf School of English to support a professional development network of Kentucky educators and for scholarships for Kentucky public school teachers to attend intensive graduate courses during summer 2004.

Yale in Kentucky

\$10,000 For the *Bulldogs in the Bluegrass* summer internship program. The Bulldogs program brings 25 to 30 Yale College students to Louisville each summer for internships in both the for-profit and non-profit sectors.

\$730,500 TOTAL EDUCATION GRANTS



HIGHER EDUCATION INITIATIVE

Six years ago, the C.E. and S. Foundation focused new attention on Louisville's colleges and universities. After extensive research, it elected to support two new projects designed to enrich and invigorate two of the city's post-secondary educational institutions. Although they differ in their respective areas of focus, the two programs which have taken shape in the past six years have in common their spirit of innovation and cross-disciplinary cooperation. They seek ultimately to equip students at Bellarmine and the University of Louisville with the knowledge and skills necessary to become engaged and productive citizens. The year 2004 marked the completion of the Foundation's commitment to these projects.

Bellarmino University

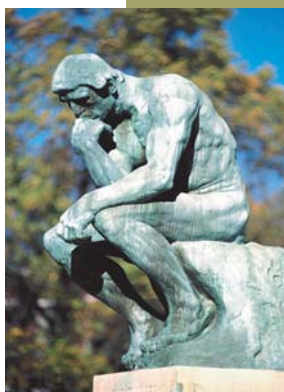
\$48,500 This grant was made in support of *Teacher Formation and the Core of Student Learning*, a college-wide initiative to strengthen teaching and learning at Bellarmine. Teachers participated in a series of workshops and retreats designed to renew their skills. The program focused on the identity and integrity of the teacher as a person and gave teachers a quiet, disciplined space in which to reclaim teaching as their chosen vocation. In conjunction with the formation process, teachers reinvented Bellarmine's core curriculum based upon four cumulative foundation courses: Freshman Seminar, American Experience, Transcultural Experience, and Senior Seminar.

Six-year grant total: \$426,200

University of Louisville

\$224,000 This grant supported the Liberal Studies Program, which challenges the traditional boundaries of knowledge by encouraging collaboration and integration across specialties. The program created a new curriculum of interdisciplinary courses taught by visiting lecturers who have distinguished themselves as innovators in multiple disciplines. In addition, the program will refine its skill-based learning classes, focusing on expository writing and debate.

Six-year grant total: \$1,988,500



Auguste Rodin's "The Thinker" stands in front of Grawemeyer Hall on UofL's Belknap Campus.

GRANTS 2004: INTERNATIONAL COOPERATION

Yale-China Association

\$100,000 The last installment of a \$500,000 grant to the Centennial Campaign. The Yale-China Association's programs contribute to the development of education in and about China and to the furtherance of knowledge, understanding, and friendship between Chinese and American people.

Yale Law School

\$50,000 For the China Law Center, the first installment of a grant of \$150,000 to be paid over three years. Four-year support totaling \$300,000 was completed in June 2003.

\$150,000 TOTAL INT'L COOPERATION GRANTS



A Yale-China fellow teaches English at a Chinese elementary school.

THE CHINA LAW CENTER at Yale Law School

The China Law Center was established in 1999 with two important missions: to support the legal reform process within China, and to increase understanding of the Chinese legal system outside of China. To these ends, it brings together legal scholars, lawyers, and law students to carry out research and teaching, participate in academic exchange, and undertake cooperative projects related to the rule of law in China. The Center's three focus areas summarized below emphasize legal institutions—the courts, administrative bureaucracies, and law schools—in the belief that reform of these institutions can have beneficial effects across many fields of law.

Judicial Reform. The China Law Center assists its Chinese colleagues as they tackle the most pressing area of legal reform in China today: judicial reform. The Center's projects include increasing judicial independence, designing civil litigation reforms, developing China's criminal law, working to replace or fundamentally reform the system of re-education through labor, drafting China's first comprehensive tort law, and exploring mechanisms for developing constitutional review.



China Law Center founder Prof. Paul Gewirtz speaks at a Beijing conference on judicial review.

Administrative Law and Regulatory Reform. The China Law Center works to improve government regulatory policies and to increase the openness, fairness, and predictability of legal administration. Projects include: drafting legislation to improve the licensing system, strengthening China's administrative litigation law, building "social trust" by creating fair credit reporting systems, nurturing independent professional and business associations, and revising China's company law.

Legal Education. The Center recognizes that the quality of China's approximately three hundred law schools will have tremendous influence on the quality of the legal system far into the future. For this reason, the Center works to strengthen and modernize Chinese legal education. Its programs introduce critical and policy-oriented thinking into Chinese law schools' curricula.

April 2004 brought exciting news for Chinese legal reformers: the State Council published new "Guidelines on Implementing the Comprehensive Promotion of Administration According to Law [*yifa xingzheng*]." These guidelines, and their endorsement by Premier Wen Jiabao, provide new legitimacy for legal reform efforts on the Chinese mainland. The current grant from the C.E. and S. Foundation will support the China Law Center's activities that take advantage of the new opportunities to promote the rule of law presented by these recent developments.

GRANTS 2004: URBAN ENVIRONMENT

- Americana Community Center**
 \$34,740 The second installment of a two-year, \$70,000 grant to fund the salary expenses of a nonprofit management fellowship.
- Canaan Christian Church**
 \$42,500 A challenge grant to stimulate the congregation's leadership to meet higher membership and giving goals.
- Center for Non-Profit Excellence**
 \$7,000 To create a non-profit board development program in collaboration with Leadership Louisville.
- Future Fund Endowment**
 \$50,311 For the acquisition of land in the Floyds Fork corridor of Jefferson County to be incorporated into the collaborative *City of Parks* project.
- Kentucky Museum of Art and Craft**
 \$10,000 For a down payment on property designated for parklands.
- Kentucky Museum of Art and Craft**
 \$10,000 The second installment of a \$30,000 grant to a capital campaign.
- The Kentucky Center for the Arts**
 \$10,000 In support of the Center's twentieth anniversary campaign.
- Leadership Louisville Foundation**
 \$18,000 For the non-profit board development program in collaboration with the Center for Non-Profit Excellence.
- Louisville Urban League**
 \$15,000 The third of six installments of a \$75,000 general operating support grant.



"Painted Sky" by Monique Passicot appeared at the Kentucky Museum of Art and Craft as part of January's special exhibit, As I See Myself: Autobiographical Art.

- Metro United Way**
 \$200,000 The sixth and final installment of a \$1 million Metro United Way New Business Challenge grant to encourage new corporate and employee campaign gifts.
- \$40,000 In support of the Louisville Asset Building Coalition, which helps Louisville families to break the cycle of intergenerational poverty.
- Oasis L.I.F.E. Center**
 \$15,000 For the Youth Entertainment Studio (YES), a leadership development program focused on media production and marketing skills.
- St. Stephen Baptist Church**
 \$30,400 The eleventh year of a \$448,400 grant to assist with the church's mortgage payment.
- Wayside Christian Mission**
 \$25,000 The first installment of a \$50,000 grant to the capital campaign of this agency delivering services to the hungry and homeless men, women and children of Louisville.



Children work with reading tutors through Every 1 Reads.

\$507,951 TOTAL URBAN ENVIRONMENT GRANTS



AMERICANA: The Story of a Community Center

If you walked into the Americana Community Center (ACC) today, you might run into a Somali Bantu man learning to surf the Internet, a Bosnian mother bringing her baby for vaccinations at the mobile clinic, or a Cuban couple studying together for their GED. Or you might be surrounded by a mob of rambunctious kids, one from every corner of the globe, racing out the door towards the soccer field. Most of the people you would meet have been in the United States fewer than two years; many would be refugees. They come to the ACC to acquire the skills and support they need not merely to survive, but to flourish in their new country.

The community center took its name from its first home, the Americana Apartments, an affordable housing complex that is home to a vibrant mix of immigrant and American families. When Edgardo Mansilla first became its executive director in 1993, the ACC was a small youth recreation center occupying two cramped apartment units. Mansilla's first move was to initiate a dialogue with the community – his goal was for the neighborhood's residents to take ownership of the ACC project, to make it their own. The ACC's programs soon expanded to include adults, serving as a community resource and providing such greatly needed services as English as a Second Language classes and computer literacy training. When Mansilla left in 1996 to pursue other projects, he thought that the ACC was ready to shine.

In 1998, however, he received a call asking him to return to his position as executive director. After two short years and two more executive directors, the ACC had become bogged down in debt and its services had atrophied. Mansilla began the difficult work of persuading the community that the ACC was back in business. By bringing Americana residents back into the decision making process, sending out dozens of grant proposals, and reaching out to local civic and charitable agencies, he and a devoted board of directors slowly rebuilt the center. By 2002, the ACC's services were in such high demand that ESL students had to wait outside for classroom space to become available. It was only by chance that Mansilla found out that Spalding University was selling Holy Rosary Academy, a Catholic girls' high school located just down the street from the Americana Apartments at 2801 Southside Drive. Thanks to a huge outpouring



“We need to listen to the refugee. We need to listen to the immigrant.”
-Edgardo Mansilla

of support, including leadership grants from the C.E. and S. Foundation and other local funders, the ACC was able to raise the \$550,000 to purchase the property. On September 17, 2002, Mansilla wrote the biggest check of his career to purchase the building debt-free. Suddenly the ACC found itself with 49,355 square feet of finished space, 4.1 acres of land, and a world of possibility.

Everything had come together in what Mansilla describes as “a miracle.”

A year later, another “miracle” occurred at Americana. On September 19, 2003, eight hundred General Electric employees, the Elfun Volunteers, arrived at 2801 Southside Drive, bearing construction materials, tools and good will. They repainted every wall, installed air conditioners, replaced light fixtures and broken windows, installed a new playground, created a new soccer field, renovated the landscaping, and added new signs and murals – all in one day, and at one tenth the market price. By the end of the day, the old school looked bright and new.

Today the Americana Community Center continues to serve as a resource, a gathering place, and a safe haven for new Louisvillians. Its ESL program is now the largest in Kentucky, and its GED program is the second largest. More children than ever before participate in the after-school and summer programs, and a mobile clinic provides basic healthcare to hundreds of people. Each year more than fifteen thousand people gather together at the ACC Cultural Festival to celebrate the diversity of this community. In addition to its core programs, the ACC now includes a Girl Scout troop, a Kids' Café, a Volunteer Income Tax Assistance site, citizenship classes and Somali cultural classes among its expanding services. Perhaps more important, however, are the principles of inclusion and respect that underlie all the Center's work. Mansilla and his staff nurture the tremendous potential they see in the immigrant community and each of its members, recognizing that newcomers' diverse cultures and experiences can enrich us all. Though challenges confronting immigrants are great, so are opportunities. Americana Community Center stands ready to support Louisville's new Americans to thrive.

Mansilla explains, “Our board and I like to be the native ones, the ones who ask, ‘Why can't we find a solution?’”

SPECIAL PROJECT REPORT:

City of Parks



Frederick Law Olmsted, known to many as the father of American landscape architecture, had a vision for the role of parklands in modern American life. He imagined wide-open meadows, tranquil groves of trees, and clear lakes – public green spaces woven seamlessly into our cities to create an environment conducive to bal-

anced, serene living. Olmsted believed that parks provide a necessary respite from the stresses of urban life and a haven for spiritual, physical and psychological renewal. Due to the foresight of nineteenth century civic visionaries, Louisville today boasts one of the most beautiful and extensive park systems in the nation. Louisvillians enjoy 122 parks covering 13,500 acres, linked by over fifteen miles of tree-lined parkways. Our parks contribute immeasurably to the health, prosperity and happiness of our citizens.

However, as our city grows and pushes its boundaries beyond its original urban core, a growing number of residents have found themselves without access to nearby parklands. The rapid pace of development in the eastern and southern portions of the city is causing the lands available for parks and open spaces to diminish quickly. The Brookings Institution's 2003 report on the newly merged City of Louisville and Jefferson County, *Beyond Merger* wrote: "The impact of unplanned development on the region's natural assets threatens to erode the integrity of what is 'uniquely Kentucky' and so impact the region's 'quality of place,' which is increasingly important in attracting and keeping talented new workers and companies." Little time remains to ensure that all future Louisvillians enjoy our distinctive quality of place.

In March 2004, the C.E. and S. Foundation announced the inaugural grant to a project to answer this challenge. For some years, groups of local citizens, civic leaders, and nonprofit organizations have committed themselves to efforts to acquire new parklands. A new nonprofit corporation, 21st Century Parks, Inc., will coalesce the vision and commitment of all Louisvillians to launch the most comprehensive initiative to

enhance the park system since the invention of the Olmsted parks in the 1890's. 21st Century Parks, Inc., with its collaborating partners (Future Fund, Inc., Louisville Olmsted Parks Conservancy, the Metro Parks Department, and other private donors) proposes:

- To acquire approximately 2,500 acres of new parklands in the Floyds Fork watershed. This area of Eastern Jefferson County – one of the most underserved areas of the newly merged Louisville Metro area – is experiencing the greatest pressure on land for residential and commercial development. The project will add three to five new parks, each approximately the size of Cherokee park, with twenty-seven miles of connecting trails.
- To build a hiking and biking trail more than one hundred miles long around the perimeter of Louisville Metro. The trail will unify east and west, north and south by linking existing parks in a single loop encircling our green city.
- To connect protected green space throughout Metro Louisville to Jefferson Memorial Forest.
- To complete a series of major improvements to the city's existing parks. More than one hundred projects are planned or already under way.

This project's scope is ambitious, its timing critical. Success will afford all Louisvillians in coming centuries the benefits of a renewed system of parks and protected green spaces convenient to their homes. The C.E. and S. Foundation's donor family believes that the tradition of park use is a defining cultural signature of Louisville. Its support reflects a vision of Louisville Metro as a 21st century "City of Parks."

Cherokee Park is just one of Louisville's many beloved green spaces.



GRANTS 2004: DISASTER RELIEF & PREVENTION



The Foundation supports disaster relief efforts both at home (Marengo tornado cleanup, above) and abroad (IRC aids Sudanese refugees in Chad, below).



American Red Cross

\$25,000 To support the tornado relief efforts in Kentucky.

CARE

\$30,000 To support relief efforts in Haiti following devastating hurricanes and flooding.

Community Foundation of Crawford County

\$50,000 For the Crawford County Emergency Disaster Relief Fund's tornado relief efforts in Marengo, Indiana.

Doctors Without Borders

\$25,000 To address the needs of Sudanese refugees in Chad.

\$25,000 For flood relief in Haiti.

International Rescue Committee

\$25,000 To support the IRC's relief work in refugee camps in South Darfur, Sudan.

\$180,000 TOTAL DISASTER RELIEF AND PREVENTION GRANTS



GRANTS 2004: FOUNDATION-INITIATED GRANTS

As explained in its Guidelines, the Foundation, from time to time, may initiate grants, rather than respond to proposals from partners in its interest areas of Education, Urban Environment, International Cooperation, and Disaster Relief and Prevention.

In 2004, the Foundation made 87 grants totaling \$627,610 in this *Foundation-Initiated Grants* category.

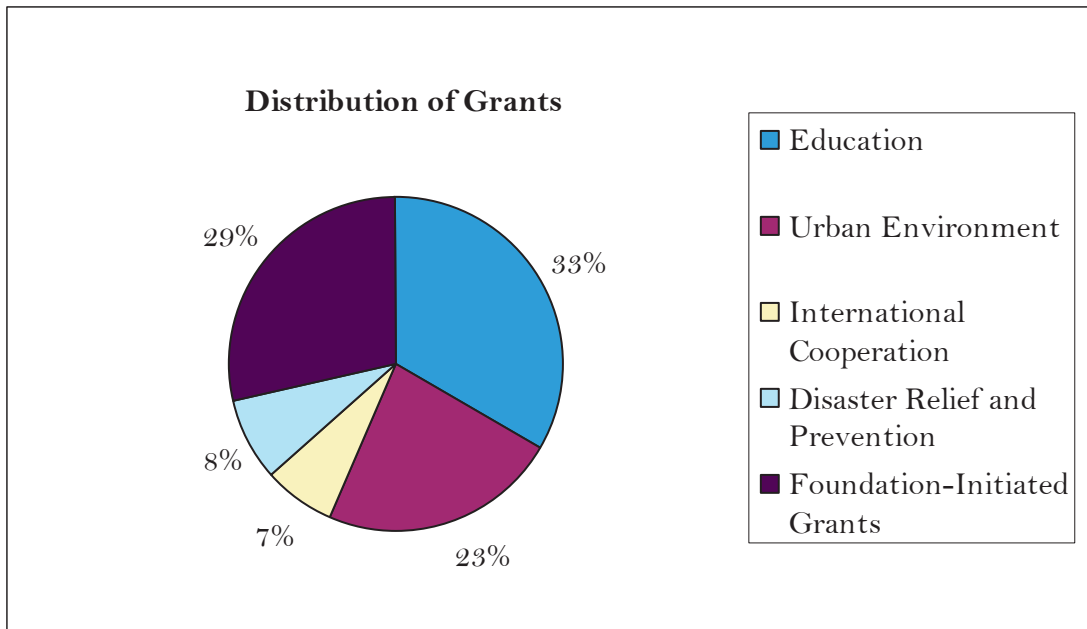
2004 FOUNDATION-INITIATED GRANTS	
87	Number of grants given in 2004
\$250	Smallest grant
\$100,000	Largest grant
\$627,610	TOTAL FOUNDATION-INITIATED GRANTS



SUMMARY OF 2004 GRANTS

In 2004, The C.E. and S. Foundation distributed \$2,196,061 in grants. These grants fell under the categories of Education, Urban Environment, International Cooperation, Disaster Relief and Prevention, and Foundation-Initiated Grants.

Focus Areas	Amount	Percent of Total
Education	\$ 730,500	33%
Urban Environment	\$ 507,951	23%
International Cooperation	\$ 150,000	7%
Disaster Relief and Prevention	\$ 180,000	8%
Foundation-Initiated Grants	\$ 627,610	29%
Total Grants	\$ 2,196,061	



More information about The C.E. and S. Foundation, including IRS documents, is available online at www.guidestar.org. In the search field, type:

C.E. and S. Foundation

